

Electronic Acknowledgement Receipt

EFS ID:	5987269
Application Number:	10562615
International Application Number:	
Confirmation Number:	6138
Title of Invention:	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them
First Named Inventor/Applicant Name:	Myung-Hwa Kim
Customer Number:	20311
Filer:	Michael Nicholas Mercanti/Akiko Sakagami
Filer Authorized By:	Michael Nicholas Mercanti
Attorney Docket Number:	428.1145
Receipt Date:	31-AUG-2009
Filing Date:	27-JUL-2006
Time Stamp:	18:04:46
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$810
RAM confirmation Number	4733
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
-----------------	----------------------	-----------	----------------------------------	------------------	------------------

1	Request for Continued Examination (RCE)	4281145_RCE.pdf	697408 84da3a60195032a03c4803f9eb27f6ca01c b1	no	3
Warnings:					
Information:					
2	Amendment After Final	428-1145_Response.pdf	3660076 8c22d6c4ea7b93d84d9f9c4f15d6f513e 99d9	no	15
Warnings:					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	30306 b18c448b70374c0ff6411c3d6119796c5d8f 76d0e	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			4387790		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					